# A logical analysis of Banach's fixpoint theorem 

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## Banach: Fixpoint theorem (1922)

## Sur les opérations dans les ensembles abstraits et leur application aux équations intégrales* publié dans Fund. Math. 3 (1922), p. 133-181.



[^0]
## Banach: Fixpoint theorem (modern version)

Let $(X, d)$ be a complete metric space.
Let $f: X \rightarrow X$ be a contraction: $d(f x, f y) \leq k \cdot d(x, y)$ for some $0<k<1$.
(Note that $f$ is a fortiori non-expansive.)
For any $x \in X$,

- infer from contractivity that the sequence $x, f x, f^{2} x, \ldots$ is Cauchy:

$$
\lim d\left(f^{n} x, f^{m} x\right)=0
$$

- infer from completeness that the sequence converges, say to $x^{*}$ :

$$
\lim d\left(y, f^{n} x\right)=d\left(y, x^{*}\right)
$$

- infer from non-expansiveness that $f x^{*}=x^{*}$ :

$$
0=d\left(x^{*}, x^{*}\right)=\lim d\left(x^{*}, f^{n} x\right) \geq \lim d\left(f x^{*}, f^{n+1} x\right)=d\left(f x^{*}, x^{*}\right)
$$

Infer from contractivity that the fixpoint is unique:

$$
f x^{*}=x^{*}, f y^{*}=y^{*} \Longrightarrow d\left(x^{*}, y^{*}\right)=d\left(f x^{*}, f y^{*}\right) \leq k \cdot d\left(x^{*}, y^{*}\right) \Longrightarrow d\left(x^{*}, y^{*}\right)=0 .
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## METRIC SPACES, GENERALIZED LOGIC, AND CLOSED CATEGORIES

(Conferenza tenuta il 30 marzo 1973) \%

By taking account of a certain natural generalization of category theory within itself, namely the consideration of strong categories whose hom-functors take their values in a given «closed category» $\mathcal{V}$ (not necessarily in the category $\mathcal{S}$ of abstract sets), we will show below that it is possible to regard a metric space as a (strong) category and that moreover by specializing the constructions and theorems of general category theory we can deduce a large part of general metric space theory.

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## Quantale-enriched categories (1)

A quantale $Q=(Q, \bigvee, \circ, 1)$ is a closed (= residuated) monoidal complete lattice.
Closedness is equivalent to

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a \circ\left(\bigvee_{i} b_{i}\right)=\bigvee_{i}\left(a \circ b_{i}\right) \quad \text { and } \quad\left(\bigvee_{i} a_{i}\right) \circ b=\bigvee_{i}\left(a_{i} \circ b\right)
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A $Q$-distributor $\Phi: \mathbb{A} \longrightarrow \mathbb{B}$ is a matrix $\Phi: \mathbb{B}_{0} \times \mathbb{A}_{0} \rightarrow Q:(y, x) \mapsto \Phi(y, x)$ such that $\mathbb{B}\left(y^{\prime}, y\right) \circ \Phi(y, x) \circ \mathbb{A}\left(x, x^{\prime}\right) \leq \Phi\left(y^{\prime}, x^{\prime}\right)$ for any $x, x^{\prime}, y, y^{\prime}$.

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$Q$-categories and $Q$-functors form a category $\operatorname{Cat}(Q)$ in the obvious way.
$Q$-categories and $Q$-distributors form a (Sup-enriched) 2-category $\operatorname{Dist}(Q)$, in which composition is "matrix-like" and local order is "element-wise".

## Bénabou: Distributors (1973)



## LES DISTRIBUTEURS

d'après le cours de "Questions spéciales de mathématique"
par
J. BENABOU redige par Jean-Roger RoISIN

Rapport $\mathrm{n}^{\mathrm{o}} \mathbf{3 3}$, janvier 1973
Séminaires de Mathématique Pure
Bâtiment Sc. I, Avenue du Cyclotron, 21348 Louvain-La-Neuve
Nous supposerons maintenant que 26 est un cosmos c'est-à-dire une catégorie multiplicative symétrique fermée complète à gauche et
à droite.
Une flèche de $Q$ vers $\mathfrak{B}$, appelée un distributeur, est un
$\mathcal{U}$-bifoncteur vers $\mathcal{U}$, contravariant en $\mathbb{3}$ et covariant en $\mathbb{Q}$.
4.3. Proposition.

Dist(26) est une bicatēgorie bermée.

## Quantale-enriched categories (2)

## Examples of $\operatorname{Cat}(Q)$ :

For $Q=(\{0,1\}, \vee, \wedge, 1)$ : ordered sets and monotone maps.
$\mathbb{A}(x, y)=1$ if $x \leq y, 0$ if $x \not \leq y$.
For $Q=([0, \infty], \bigwedge,+, 0)$ : (generalized) metric spaces and non-expansive maps.
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A left-continuous $t$-norm is a commutative, integral quantale $([0,1], \bigvee, *, 1)$, e.g. $x * y=\max \{x+y-1,0\}$, used in many-valued logic.

For $Q=([0,1], \bigvee, *, 1)$ : "fuzzy" orders and "fuzzy" monotone maps.
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Compute $\Delta:=([0, \infty], \bigwedge,+, 0) \coprod([0,1], \bigvee, *, 1)$ in CMon(Sup): its elements are probability distributions $u:[0, \infty] \rightarrow[0,1]$, with convolution product:

$$
(u * v)(t)=\bigvee_{r+s=t} u(r) * v(s) \quad \text { and } \quad e(t)=\left\{\begin{array}{l}
0 \text { if } t=0 \\
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For $Q=(\Delta, \bigvee, *, e)$ : probabilistic metric spaces and probability-increasing maps. $\mathbb{A}(x, y)(t)$ is the probability that the distance from $x$ to $y$ is less than $t$.

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For $Q=([0,1], \mathrm{V}, *, 1)$ : "fuzzy" orders and "fuzzy" monotone maps.
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There are many more examples-in sheaf theory, non-commutative topology, monoidal topology, domain theory, quantum computing, automata theory...

## Quantale-enriched categories (3)

Any $Q$-functor $F: \mathbb{A} \rightarrow \mathbb{B}$ represents a left adjoint distributor ("the graph of $F$ ")

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F_{*}: \mathbb{A} \longrightarrow \mathbb{B} \text { with elements } F_{*}(y, x)=\mathbb{B}(y, F x)
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Not every left adjoint distributor is thusly representable; however, whenever it is representable, then it is so by an essentially unique functor.

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These are adjoint if and only if

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This is exactly the formula for Cauchy sequences in a metric space:

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The distributor $\phi: \mathbb{1} \longrightarrow \mathbb{C}$ is representable, say by $F: \mathbb{1} \rightarrow \mathbb{C}: * \mapsto x^{*}$, if and only if

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This is exactly the formula for convergence in a metric space:

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Whence: in a Cauchy complete category $\mathbb{C}$ "all Cauchy sequences converge".

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Any $Q$-functor $F: \mathbb{A} \rightarrow \mathbb{B}$ represents a left adjoint distributor ("the graph of $F$ ")

$$
F_{*}: \mathbb{A} \longrightarrow \mathbb{B} \text { with elements } F_{*}(y, x)=\mathbb{B}(y, F x)
$$

Not every left adjoint distributor is thusly representable; however, whenever it is representable, then it is so by an essentially unique functor.

A $Q$-category $\mathbb{C}$ is Cauchy complete if any left adjoint distributor into $\mathbb{C}$ is representable.
Any sequence $\left(x_{n}\right)_{n \in \mathbb{N}}$ in a $Q$-category $\mathbb{C}$ determines a pair of distributors

A sequence $\left(x_{n}\right)_{n \in \mathbb{N}}$ in $\mathbb{C}$ is Cauchy if $\phi$ is left adjoint to $\psi$.
Whence: in a Cauchy complete category $\mathbb{C}$ "all Cauchy sequences converge".
("Categorical" Cauchy-completeness is stronger than "sequential" Cauchy-completenes, but under certain conditions on $Q$ they coincide; see (Hofmann and Reis, 2013).)

## Fixpoint theorem (1)

## Proposition

Suppose that $F: \mathbb{C} \rightarrow \mathbb{C}$ is a $Q$-functor on a Cauchy complete $\mathbb{C}$. If there is an $x \in \mathbb{C}_{0}$ such that $\left(F^{n} x\right)_{n \in \mathbb{N}}$ is Cauchy, then $F$ has a fixpoint.

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But can we provide ("contractivity") conditions on $F$ to ensure the existence of a Cauchy sequence $\left(F^{n} x\right)_{n \in \mathbb{N}}$ ? And what about the uniqueness of a fixpoint?

Fixpoint theorem (2)
Definition
Say that $\varphi: Q \rightarrow Q$ is a control function and $F: \mathbb{C}_{0} \rightarrow \mathbb{C}_{0}$ is a $\varphi$-contraction, if $\varphi(t) \geq t$ for all $t \in Q$,
$\varphi(t)=t$ implies that either $t=0$ or $1 \leq t$.
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The "Banach case" for metric spaces: for

$$
\varphi:[0, \infty] \rightarrow[0, \infty]: t \mapsto k \cdot t \quad \text { for some } 0<k<1
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it is easily verified (recalling that $[0, \infty]$ comes with opposite order) that

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k \cdot t \leq t
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so a function $f: X \rightarrow X$ on a (generalized) metric space $(X, d)$ is a $\varphi$-contraction if

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There are other non-trivial examples, e.g. for probabilistic metric spaces:

$$
\text { define } \varphi: \Delta \rightarrow \Delta \text { by } \varphi(u)(t)= \begin{cases}\frac{1}{2}(u(t)+1) & \text { if } 0<t \leq \infty \\ 0 & \text { if } t=0\end{cases}
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## Proposition

If $\mathbb{C}$ is symmetric, then any two fixpoints of a $\varphi$-contraction are either isomorphic or in different summands of $\mathbb{C}$.

If $\mathbb{C}$ has no zero-homs, then any two fixpoints of a $\varphi$-contraction are always isomorphic.

## Fixpoint theorem (3)

## Proposition

Suppose that $F: \mathbb{C} \rightarrow \mathbb{C}$ is a $\varphi$-contraction on a $Q$-category. Suppose that $Q$ is a continuous lattice and that $\varphi: Q \rightarrow Q$ is a lower-semicontinuous function. Then, for any $x \in \mathbb{C}_{0}$ such that $\mathbb{C}(F x, x) \neq 0 \neq \mathbb{C}(x, F x)$, the sequence $\left(F^{n} x\right)_{n \in \mathbb{N}}$ is Cauchy.

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The result holds under weaker conditions, but it makes the statement more technically involved, so skipped here for convenience.

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Thus $0 \neq \epsilon \ll 1$ is such that
for all $k \in \mathbb{N}$ there exist $m_{k}, n_{k} \geq k$ such that $\epsilon \not \mathbb{C}\left(F^{n_{k}} x, F^{m_{k}} x\right)$.

## Fixpoint theorem (3)

## Proposition

Suppose that $F: \mathbb{C} \rightarrow \mathbb{C}$ is a $\varphi$-contraction on a $Q$-category. Suppose that $Q$ is a continuous lattice and that $\varphi: Q \rightarrow Q$ is a lower-semicontinuous function. Then, for any $x \in \mathbb{C}_{0}$ such that $\mathbb{C}(F x, x) \neq 0 \neq \mathbb{C}(x, F x)$, the sequence $\left(F^{n} x\right)_{n \in \mathbb{N}}$ is Cauchy. Sketch of proof: If $\left(F^{n} x\right)_{n \in \mathbb{N}}$ is not Cauchy, then $1 \not \subset \bigvee_{N \in \mathbb{N}} \wedge_{m, n \geq N} \mathbb{C}\left(F^{n} x, F^{m} x\right)$. In the continuous lattice $Q$, there must then be an $\epsilon$ such that

$$
\epsilon \ll 1 \quad \text { and } \quad \epsilon \not \leq \bigvee_{N \in \mathbb{N}} \bigwedge_{m, n \geq N} \mathbb{C}\left(F^{n} x, F^{m} x\right)
$$

Thus $0 \neq \epsilon \ll 1$ is such that
for all $k \in \mathbb{N}$ there exist $m_{k}, n_{k} \geq k$ such that $\epsilon \not \mathbb{C}\left(F^{n_{k}} x, F^{m_{k}} x\right)$.
A "clever choice" of such indices $m_{k}, n_{k} \geq k$ can be made, say

$$
d_{k}:=\mathbb{C}\left(F^{n_{k}} x, F^{m_{k}} x\right),
$$

so that, with lower-semicontinuity of $\varphi$, continuity of $Q$, and $\mathbb{C}(F x, x) \neq 0 \neq \mathbb{C}(x, F x)$,

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\varphi\left(\bigvee_{N \in \mathbb{N}} \bigwedge_{k \geq N} d_{k}\right)=\bigvee_{N \in \mathbb{N}} \bigwedge_{k \geq N} d_{k} \neq 0
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Thus, $1 \leq \bigvee_{N \in \mathbb{N}} \bigwedge_{k \geq N} d_{k}$, which leads to $\epsilon \leq \bigwedge_{k \geq N_{0}} d_{k}$ for some $N_{0}$.

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Thus, $1 \leq \bigvee_{N \in \mathbb{N}} \bigwedge_{k \geq N} d_{k}$, which leads to $\epsilon \leq \bigwedge_{k \geq N_{0}} d_{k}$ for some $N_{0}$. Contradiction!

## Fixpoint theorem (4)

## Theorem

Suppose that $F: \mathbb{C} \rightarrow \mathbb{C}$ is a $\varphi$-contraction on a Cauchy complete $Q$-category. Suppose that $Q$ is a continuous lattice and that $\varphi: Q \rightarrow Q$ is a lower-semicontinuous morphism. If there exists an $x \in \mathbb{C}_{0}$ such that $\mathbb{C}(F x, x) \neq 0 \neq \mathbb{C}(x, F x)$, then $F$ has a fixpoint.

If $\mathbb{C}$ is symmetric, then any two fixpoints of $F$ are either isomorphic or in different summands of $\mathbb{C}$; if $\mathbb{C}$ has no zero-homs, then any two fixpoints of $F$ are isomorphic.

## Fixpoint theorem (4)

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If $\mathbb{C}$ is symmetric, then any two fixpoints of $F$ are either isomorphic or in different summands of $\mathbb{C}$; if $\mathbb{C}$ has no zero-homs, then any two fixpoints of $F$ are isomorphic.

Examples:
$Q=(\{0,1\}, \bigvee, \wedge, 1)$ : the theorem trivializes for ordered sets.
$Q=([0, \infty], \bigwedge,+, 0):$ (generalized) Banach fixpoint theorem for (generalized) metric spaces, allowing for non-linear contractions (cf. (Boyd and Wong, 1969)).
$Q=([0,1], \bigvee, *, 1)$ : a new fixpoint theorem for fuzzy orders, to be compared with e.g. (Coppola et al., 2008).
$Q=(\Delta, \bigvee, *, e)$ : a new fixpoint theorem for probabilistic metric spaces, encompassing certain known results (Hadžić and Pap, 2001).

## Take-away message: an equilibrum of three

To formulate a fixpoint theorem for a $\varphi$-contraction $F: \mathbb{C} \rightarrow \mathbb{C}$ on a $Q$-category,


Our theorem captures known examples and produces new results. Yet, the literature abounds with fixpoint theorems. Further study is necessary!
Challenge: find a fixpoint theorem for quantaloid-enriched categories, to understand the situation for partial metric spaces (Hofmann and Stubbe, 2018).

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[^0]:    Stefan Banach (1892-1945)

